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Gunther O. Hanke, Reg. No. 32,989

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No.	:	Unassigned
Applicant	:	Florencia Lim et al.
Filed	:	Concurrently Herewith
Title	:	BALLOON CATHETER
Art Unit	:	3738
Examiner	:	Brian E. Pellegrino
Docket No.:	:	ACSC 68062 (2242XXD)
Customer No.	:	24201

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(b)(1) Applicants wish to bring to the attention of the Patent Office the references listed on the attached copy of PTO/1449 Form, which may be material to the patentability of the invention.

The Examiner is respectfully requested to consider the references cited on the enclosed copy of PTO/1449 Form.


This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(b)(1) and therefore no fee is due.

However, if a fee is in fact due, please charge our Deposit Account No. 06-2425.

**A duplicate of this paper is enclosed.**

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:   
Gunther O. Hanke  
Registration No. 32,989

GOH/PMM/psm

Enclosures: Copy of PTO/1449 Form from parent application

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45678.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.:

SERIAL NO.:

## INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

25141-2160

09/733,120

APPLICANT:

Lim et al.

PTO-1449 FORM

COPY

FILING DATE:

December 8, 2000

GROUP ART UNIT:

3761

SHEET 1 OF 1

## U.S. PATENT DOCUMENTS

† EX'R INITIAL	* REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE
	*A1	Re. 32,983	7/1989	LEVY	428/36.92	10/1986
	*A2	4,003,382	1/1977	DYKE	128/349 B	7/1975
	*A3	4,106,509	8/1978	MCWHORTER	128/349	8/1976
	*A4	4,265,848	5/1981	RIISCH	264/130	8/1979
	*A5	4,315,512	2/1982	FOGARTY	128/344	1/1980
	*A6	4,331,142	5/1982	DEGEN	128/207	1/1980
	*A7	4,376,834	3/1983	GOLDWASSER ET AL.	521/159	10/1981
	*A8	4,463,156	7/1984	MCGARY, JR. ET AL.	528/65	3/1982
	*A9	4,564,014	1/1986	FOGARTY ET AL.	128/344	1/1980
	*A10	4,572,186	2/1986	GOULD ET AL.	128/341	12/1983
	*A11	4,706,670	11/1987	ANDERSON ET AL.	128/344	11/1985
	*A12	4,762,128	8/1988	ROSENBLUTH	128/343	12/1986
	*A13	4,820,271	4/1989	DEUTSCH	604/99	7/1987
	*A14	4,950,239	8/1990	GAHARA ET AL.	604/96	8/1988
	*A15	5,112,304	5/1992	BARLOW ET AL.	604/96	8/1988
	*A16	5,195,969	3/1993	WANG ET AL.	604/96	
	*A17	5,270,086	12/1993	HAMLIN	604/96	
	*A18	5,290,306	3/1994	TROTTA ET AL.	606/194	11/1989
	*A19	5,366,472	11/1994	HILLSTEAD	606/194	9/1991
	*A20	5,409,495	4/1995	OSBORN	606/108	8/1993
	*A21	5,415,635	5/1995	BAGAOISAN ET AL.	604/96	7/1992
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	*A25	5,470,313	11/1995	CROCKER ET AL.	604/96	2/1994
	*A26	5,492,532	2/1996	RYAN ET AL.	604/96	8/1994
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	*A28	5,556,383	9/1996	WANG ET AL.	604/96	
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	*A31	5,645,560	7/1997	CROCKER ET AL.	606/192	5/1996
	*A32	5,669,880	9/1997	SOLAR	604/96	
	*A33	5,707,354	1/1998	SALMON ET AL.	604/96	4/1995
	*A34	5,738,901	4/1998	VICKERMAN ET AL.		
	*A35	5,743,874	4/1998	FISCHELL ET AL.	604/96	

<p align="center"><b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b></p> <p align="center"><b>INFORMATION DISCLOSURE STATEMENT</b></p> <p align="center">UNDER 37 CFR §1.56, §1.97, and §1.98</p> <p align="center"><b>PTO-1449 FORM</b></p> <p align="center"><b>SHEET 2 OF 2</b></p>	<p>ATTORNEY DOCKET NO.:</p> <p align="center">25141-2160</p>	<p>SERIAL NO.:</p> <p align="center">09/733,120</p>
<p>APPLICANT:</p> <p align="center">Lim et al.</p>		
<p>FILING DATE:</p> <p align="center">December 8, 2000</p>		<p>GROUP ART UNIT:</p> <p align="center">3761</p>

**U.S. PATENT DOCUMENTS CONT'D**

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE
	*A36	5,752,934	5/1998	CAMPBELL ET AL.	604/96	9/1995
	*A37	5,766,203	6/1998	IMRAN ET AL.	606/198	
	*A38	5,769,817	6/1998	BURGMEIER	604/96	2/1997
	*A39	5,772,669	6/1998	VRBA	606/108	
	*A40	5,776,140	7/1998	COTTONE	606/108	
	*A41	5,792,144	8/1998	FISCHELL ET AL.	606/108	
	*A42	5,792,300	8/1998	QUERNS ET AL.		
	*A43	5,807,520	9/1998	WANG ET AL.	264/520	10/1997
	*A44	5,833,706	11/1998	ST. GERMAIN ET AL.	606/194	
	*A45	5,868,704	2/1999	CAMPBELL ET AL.	604/96	6/1996
	*A46	5,968,069	10/1999	DUBABEK ET AL.	606/194	
	*A47	6,146,356	11/2000	WANG ET AL.	604/96	5/1999

**FOREIGN PATENT DOCUMENTS**

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
	*B1	WO 95/23619	9/1995	PCT	NO
	*B2	WO 96/37240	11/1996	PCT	NO
	*B3	WO 98/05377	2/1998	PCT	NO
	*B4	EP 0 210 061	1/1987	EUROPE	NO
	*B5	EP 0 357 562	3/1990	EUROPE	NO
	*B6	EP 0 540 858	5/1993	EUROPE	NO
	*B7	EP 0 553 960	8/1993	EUROPE	NO
	*B8	EP 0 592 885	4/1994	EUROPE	NO
	*B9	EP 0 745 395	12/1996	EUROPE	NO
	*B10	EP 0 778 037	6/1997	EUROPE	NO

<p>EXAMINER'S SIGNATURE</p>	<p>DATE CONSIDERED</p>
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† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.  
 Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.*

\* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).